

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: <b>Meta Materials, INC</b>	Case Number: <b>24-50792</b>	<b>RECEIVED AND FILED</b> <b>DEC 18 2024</b> <b>U.S. BANKRUPTCY COURT</b> <b>MARY A. SCHOTT, CLERK</b> <b>COURT USE ONLY</b>
<p>1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):</p> <p><b>Chad Hagman 6710 Argenta Trail Inver Grove Heights MN 55077</b></p> <p>Telephone Number: <b>(612) 998-3207</b></p>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
<p><b>NOTE:</b> This form <b>SHOULD NOT</b> be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</p>		
Account or other number by which Interest holder identifies Debtor: <b>676-538384-202 Individual</b>	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:  <b>Morgan Stanley F Trade Bowling Green Station PO Box 286 New York New York 10274-0286 Telephone Number: 1800 387-2331</b>	3. Date Equity Interest was acquired:  <b>6/21/2021</b>	
4. Total amount of member interest: <b>239 Shares = \$41,433.38</b>	5. Certificate number(s): <b>See attached documents</b>	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest:  <b>Description:</b>		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box.	<input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.)	
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <b>Chad Hagman</b> Title: Company: Address and telephone number (if different from notice address above): _____ _____		
 (Signature)		
<b>12/10/2024</b> (Date)		
Telephone number: <b>612 998-3207</b> Email: <b>55LL.Cha@Yahoo.com</b>		

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*

## POI Supplemental Info

Case : 24-50792 Meta Materials INC

For :

Broker: E Trade / Morgan Stanley

Acct #: [REDACTED] - 5607 Ind. u. dual

Acct #: [REDACTED] 84-202 self directed as of Sept 2023

Transactions : Pre Splits

				\$36,276.3
6/21/21	Purchased	3607 TRCH @ \$10.06	=	\$36,276.3
11/17/22	Purchased	492 MMAT @ \$1.82	=	\$896.08
12/14/22	Purchased	1 MMAT @ \$1.37	=	\$ 1.37
12/14/22	Purchased	10 MMAT @ \$1.37	=	\$ 13.70
9/22/23	Purchased	9,085 MMAT @ \$.2035	=	\$ 1,848.80
9/22/23	Purchased	8,800 MMAT @ \$.2031	=	\$ 1,787.28
9/22/23	Purchased	1,433 MMAT @ \$.2032	=	\$ 291.19
9/22/23	Purchased	338 MMAT @ \$.20.33	=	\$ 68.72
10/23/23	Purchased	1715 MMAT @ \$.1457	=	\$ 249.88

2024 NC Trades in MMAT

Total Shares held as of 12/10/2024 237

Total Invested pre splits \$ 41,433.38

CLIENT STATEMENT | For the Period October 1-31, 2024

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## Self-Directed Brokerage Account

84-202

## Account Detail

## STOCKS

## COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Morningstar, Inc.'s equity research ratings are shown for certain securities. These ratings represent the opinions of the research provider and are not representations or guarantees of performance. The applicable research report contains more information regarding the analyst's opinions, analysis, and rating, and you should read the entire research report and not infer its contents. For ease of comparison, Morgan Stanley and Morningstar, Inc.'s equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Refer to your June or December statement for a summary guide describing the ratings. We do not take responsibility for, nor guarantee the accuracy, completeness, or timeliness of research prepared for Morningstar, Inc.

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CONTRA WORLDWIDE DIVER	3,625.000	N/A	\$0.00	N/A	N/A	—	—
Asset Class: Equities							
FM4 NXTBRG HYDROCARBONS CONTRA	9,207.000	N/A	14,118.07	N/A	N/A	—	—
Asset Class: Equities							
GAMESTOP CORP CL A NEW (GME)	350.000	22.180	10,025.75	7,763.00	(2,262.75)	—	—
Asset Class: Equities							
HOUSTON NAT RES CORP (HNRC)	7,250.000	0.021	3,124.45	148.63	(2,975.82)	—	—
Asset Class: Equities							
META MATERIALS INC NEW (MMATQ)	237.000	0.071	41,433.38	16.83	(41,415.18)	—	—
Asset Class: Equities							
NATIONAL STORM MGMT-SHS B (TORNZ)	1,575.000	N/A	0.00	N/A	N/A	—	—
Asset Class: Equities							
ROBLOX CORP CL A (RBLX)	1.000	51.720	69.98	51.72	(18.26)	—	—
Rating: Morgan Stanley: 2, Morningstar: 2; Asset Class: Equities							
SNDL INC (SNDL)	13.000	2.080	103.66	27.04	(76.62)	—	—
Asset Class: Equities							
TARONIS TECHNOLOGIES INC	7,030.000	N/A	10,724.27	N/A	N/A	—	—
Asset Class: Equities							
THUNDER ENERGIES CORP (TNRG)	3,290.000	0.028	3,046.89	92.12	(2,954.77)	—	—
Asset Class: Equities							
TOYS R US ANZ LTD NEW (TOYRF)	3,000.000	0.039	231.95	116.38	(115.57)	—	—
Asset Class: Equities							
	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	99.83%		\$82,878.40	\$8,215.72	\$(49,818.97)	—	—



from Morgan Stanley

CLIENT STATEMENT | For the Period October 1-31, 2023

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## Account Detail

Self-Directed Brokerage Account

[REDACTED] 4-202

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<b>STOCKS</b>	99.32%	\$177,101.34	\$31,524.11	\$(120,734.89)	—	—
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<b>TOTAL VALUE</b>	100.00%	\$177,101.34	\$31,741.53	\$(120,734.89)	\$0.02	—

*Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.*

## ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$217.42	—	—	—	—	—
Stocks	—	\$31,524.11	—	—	—	—
<b>TOTAL ALLOCATION OF ASSETS</b>	<b>\$217.42</b>	<b>\$31,524.11</b>	—	—	—	—

## ACTIVITY

## CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
10/2		Online Transfer	ACH WITHDRAWL	REFID:90173676906;			\$(300.00)
10/5		Online Transfer	ACH WITHDRAWL	REFID:90517163906;			(600.00)
10/23	10/25	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	1,715.000	0.1457	(249.88)
10/31		Interest Income	MORGAN STANLEY BANK N.A.	(Period 10/01-10/31)			0.01
<b>NET CREDITS/(DEBITS)</b>							<b>\$(1,149.87)</b>

*Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.*

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
10/3	Automatic Redemption	BANK DEPOSIT PROGRAM	\$(300.00)



CLIENT STATEMENT | For the Period September 1-30, 2023

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## Account Detail

Self-Directed Brokerage Account [REDACTED]

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<b>STOCKS</b>	95.94%	\$176,851.46	\$32,299.54	\$(119,709.57)	—	—
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Accrued Interest
<b>TOTAL VALUE</b>	100.00%	\$176,851.46	\$33,666.83	\$(119,709.57)	\$0.14	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

## ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$1,367.29	—	—	—	—	—
Stocks	—	\$32,299.54	—	—	—	—
<b>TOTAL ALLOCATION OF ASSETS</b>	<b>\$1,367.29</b>	<b>\$32,299.54</b>	—	—	—	—

## ACTIVITY

## CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
9/1		Other Credits	BANK DEPOSIT PROGRAM		165,690		\$165.69
9/22	9/26	Sold	NORTHWEST BIOTHERAPEUTICS	ACTED AS AGENT UNSOLICITED TRADE	5,290,000	0.9840	5,197.59
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	9,085,000	0.2035	(1,848.80)
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	8,800,000	0.2031	(1,787.28)
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	1,433,000	0.2032	(291.19)
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	338,000	0.2033	(68.72)
<b>NET CREDITS/(DEBITS)</b>							<b>\$1,367.29</b>

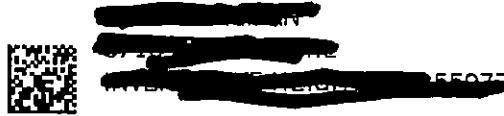
Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.



from Morgan Stanley

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001 of 004

0004190 01 MB 0.558 01 TR 00017 MSCNWVA4



Your Account Number: [REDACTED] 84-202  
Account Type - CashE\*TRADE from Morgan Stanley  
P.O. BOX 484  
JERSEY CITY, NJ 07303-0484  
(800)-387-2331*These transactions are confirmed in accordance with the information provided on the Conditions and Disclosures page.*

Trade Date	Settlement Date	Quantity	Price	Settlement Amount
09/22/2023	09/26/2023	338	0.2033	Principal \$68.72
				Net Amount \$68.72

**Description: META MATERIALS INC**

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

09/22/2023	09/26/2023	9,085	0.2035	Principal \$1,848.80
				Net Amount \$1,848.80

**Description: META MATERIALS INC**

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

Morgan Stanley Smith Barney LLC. Member SIPC. The transaction(s) may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services. E\*TRADE is a business of Morgan Stanley.

Trade Date	Settlement Date	Quantity	Price	Settlement Amount
09/22/2023	09/26/2023	1,433	0.2032	Principal \$291.19

**Transaction Type:** Bought **Net Amount** **\$291.19**

**Description: META MATERIALS INC**

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

09/22/2023	09/26/2023	8,800	0.2031	Principal \$1,787.28
<b>Transaction Type:</b> Bought <b>Net Amount</b> <b>\$1,787.28</b>				

**Description: META MATERIALS INC**

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

09/22/2023	09/26/2023	5,290	0.984	Principal \$5,205.36
<b>Transaction Type:</b> Sold <b>FINRA TAF</b> <b>\$0.77</b>				
			Commission	<b>\$6.95</b>
			Supplemental Transaction Fee	<b>\$0.05</b>
<b>Net Amount</b> <b>\$5,197.59</b>				

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

Morgan Stanley Smith Barney LLC. Member SIPC. The transaction(s) may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services. E\*TRADE is a business of Morgan Stanley.



from Morgan Stanley

013139  
001 of 002

0007771 01 MB 0.558 01 TR 00033 MSCNP3A4

Your Account Number: [REDACTED] 4-202  
Account Type - CashE\*TRADE from Morgan Stanley  
P.O. BOX 484  
JERSEY CITY, NJ 07303-0484  
(800)-387-2331*This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page.*

Trade Date	Settlement Date	Quantity	Price	Settlement Amount
10/23/2023	10/25/2023	1,715	0.1457 Principal	\$249.88
<b>Transaction Type:</b> Bought			<b>Net Amount</b>	<b>\$249.88</b>

**Description: META MATERIALS INC**

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

0007771 MSCNP3A4 0003344

Morgan Stanley Smith Barney LLC. Member SIPC. The transaction(s) may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services. E\*TRADE is a business of Morgan Stanley.



Account Number: [REDACTED] 5607

Statement Period : December 1, 2022 - December 31, 2022

Account Type: INDIVIDUAL

**PREFERRED STOCKS (6.11% of Holdings)**

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
AMC ENTERTAINMENT HOLDINGS INC.	AMCE	Cash	2,542	1.4100	\$3,584.22	6.11%		
AMC PFRD EGTY UNITS								
ECH CONSTRG OF DPSTRY SHR								
RPRSNTNG 1/100								
META MATLS INC PFD SER A	59134N203	Cash		2.8950	0.00	0.00		
META MATLS PREFERRED STOCK CONTRA CUSIP	591994371	Cash	9,207		0.00	0.00		
<b>TOTAL PREFERRED STOCKS</b>					<b>\$3,584.22</b>	<b>6.11%</b>		
<b>TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/22)</b>					<b>\$58,639.88</b>			

**TRANSACTION HISTORY****SECURITIES PURCHASED OR SOLD**

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
12/30/22 10:22	12/02/22	META MATLS INC PFD SER A	MMTLP	Sold	1,500	8.7600		\$13,132.54
12/07/22 09:46	12/09/22	HOUSTON NAT RES CORP COMMON STOCK	HNRC	Bought	2,750	0.4275	1,175.63	
12/07/22 09:46	12/09/22	HOUSTON NAT RES CORP COMMON STOCK	HNRC	Bought	7,250	0.4300	3,124.45	
12/14/22 09:30	12/16/22	META MATLS INC COMMON STOCK	MMAT	Bought	1	1.3700	1.37	
12/14/22 09:30	12/16/22	META MATLS INC COMMON STOCK	MMAT	Bought	10	1.3700	13.70	
<b>TOTAL SECURITIES ACTIVITY</b>							<b>\$4,315.15</b>	<b>\$13,132.54</b>

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION****Account Number:** XXXX-5607**Account Name:** [REDACTED]

**E\*TRADE Securities LLC**  
 P.O. Box 484  
 Jersey City, NJ 07303-0484

**Customer Update**

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TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE	PRINCIPAL	NET AMOUNT
11/17/22	11/21/22	6 1	MMAT	BUY	491	\$1.825	Cash	\$896.08	
<b>META MATLS INC COMMON STOCK</b>									
11/17/22	11/21/22	6 1	REVRQ	SELL	775	\$1.17	Cash	PRINCIPAL	\$906.75
<b>REVLON INC COMMON STOCK</b>									
								COMMISSION	\$6.95
								FINRA TAF	\$0.10
								Fee	0.03
								NET AMOUNT	\$899.67

▲ DETACH HERE

CHAD J HAGMAN  
 6710 ARGENTA TRL  
 INVER GROVE MN 55077-2209

▲ DETACH HERE

**Use This Deposit Slip Acct: XXXX-5607****Please do not send cash**

Dollars	Cents

Make checks payable to E\*TRADE Securities LLC.  
 Mail deposits to:

**TOTAL DEPOSIT**

E\*TRADE Securities LLC  
 P.O. Box 484  
 Jersey City, NJ 07303-0484

111720220001 900668356075



**E\*TRADE Securities**  
Investment Account

## TRADE CONFIRMATION

Account Number: XXXX-5607

Account Name: [REDACTED]

**E\*TRADE Securities LLC**  
P.O. Box 484  
Jersey City, NJ 07303-0484

### Customer Update

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TRADE DATE	SETTLEMENT DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE	PRINCIPAL	NET AMOUNT
12/14/22	12/16/22	6 1	MMAT	BUY	1	\$1.37	Cash		\$1.37
<b>META MATLS INC COMMON STOCK</b>									
<hr/>									
12/14/22 12/16/22 6 1 MMAT BUY 10 \$1.37 Cash PRINCIPAL \$13.70									
<b>META MATLS INC COMMON STOCK</b>									
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▲ DETACH HERE  
CHAD J HAGMAN  
6710 ARGENTA TRL  
INVER GROVE MN 55077-2209

DETACH HERE ▲

**Use This Deposit Slip Acct: XXXX-5607**

Please do not send cash

Dollars	Cents

Make checks payable to E\*TRADE Securities LLC.  
Mail deposits to:



TOTAL DEPOSIT

E\*TRADE Securities LLC  
P.O. Box 484  
Jersey City, NJ 07303-0484

121420220001 900668356075



Account Number: [REDACTED] 5607

Statement Period : November 1, 2022 - November 30, 2022

Account Type: INDIVIDUAL

**PREFERRED STOCKS (58.69% of Holdings)**

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
AMC ENTERTAINMENT HOLDINGS INC	APE	Cash	2,542	0.9718	2,470.32	1.81		
AMC PRFRD EQTY UNITS								
ECH CNSTNG OF DPSTRY SHR								
RPRSNING 1/100								
META MATLS INC PFD SER A	MMTLA	Cash	10,707	8.1700	87,476.19	57.08		
<b>TOTAL PREFERRED STOCKS</b>					<b>\$89,946.51</b>	<b>58.69%</b>		
<b>TOTAL PRICED PORTFOLIO HOLDINGS (ON 11/30/22)</b>					<b>\$153,255.22</b>			

**TRANSACTION HISTORY****SECURITIES PURCHASED OR SOLD**

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
10/31/22 09:30	11/02/22	META MATLS INC PFD SER A	MMTLA	Bought	1,500	6.6000	9,906.95	
11/17/22 15:09	11/21/22	META MATLS INC COMMON STOCK	MMAT	Bought	491	1.8250	896.08	
11/17/22 15:07	11/21/22	REVOLN INC COMMON STOCK	REVRO	Sold	775	11.1700		899.67
<b>TOTAL SECURITIES ACTIVITY</b>							<b>\$10,803.03</b>	<b>\$899.67</b>

**UNSETTLED TRADES**

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
11/30/22		META MATLS INC PFD SER A PERPETUAL	MMTLA	Sold	1,500	8.7600		13,132.54



**E\*TRADE Securities**  
Investment Account

**Account Number:** 5607

**Statement Period : July 1, 2021 - July 31, 2021**

Account Type: INDIVIDUAL

## DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
07/26/21	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT - INTEREST		0.02	

**TOTAL DIVIDENDS & INTEREST ACTIVITY**

#### NET DIVIDENDS & INTEREST ACTIVITY

#### **WITHDRAWALS & DEPOSITS**

DATE	TRANSACTION TYPE	DESCRIPTION	AMOUNT	WITHDRAWALS	DEPOSITS
07/16/21	Transfer	ACH WITHDRAWL REFID:28826426908	40,000.00		

## NET WITHDRAWALS & DEPOSITS

**OTHER ACTIVITY**

DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT DEBITED	AMOUNT CREDITED
07/02/21	META MATLS INC COMMON STOCK CIL ON 0.50000@ 6.34620	MMAT	Cash-in-Lieu				\$3.17
07/07/21	META MATLS INC PFD SER A SPINOFF ON 3607 SHS TORCHLIGHT ENERGY RESOURCES REC 06/24/21 PAY 06/25/21 AS OF 07/06/21	59134N203	Bought	3,607			
	<b>TOTAL OTHER ACTIVITY</b>						<b>\$3.17</b>
	<b>NET OTHER ACTIVITY</b>						<b>\$3.17</b>

**EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY ( 0.0100% APY/0.0100%APY Earned as of 07/31/21)**

**Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit [www.etrada.com/esdaagreement](http://www.etrada.com/esdaagreement) or call us at 1-800-FTRADE-1 (1-800-387-2331).**

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
07/01/21		OPENING BALANCE	\$175.73
07/02/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	3.17
07/07/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	1,500.03
07/15/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	42,667.94


**e\*TRADE Securities  
Investment Account**

Account Number: 5607

Statement Period : June 1, 2021 - June 30, 2021

Account Type: INDIVIDUAL

**SECURITIES PURCHASED OR SOLD (Continued)**

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
06/18/21 09:46	06/22/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	-78	63.5751		4,958.82
06/21/21 14:26	06/23/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	-567	55.5217		31,480.56
06/21/21 14:29	06/23/21	TORCHLIGHT ENERGY RESOURCES INC	TRCH	Bought	3,607	10.0600	36,286.42	
<b>TOTAL SECURITIES ACTIVITY</b>							<b>\$46,611.41</b>	<b>\$49,782.64</b>

**DIVIDENDS & INTEREST ACTIVITY**

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
06/28/21	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT INTEREST			0.01

**TOTAL DIVIDENDS & INTEREST ACTIVITY**

**NET DIVIDENDS & INTEREST ACTIVITY** \$0.01

**WITHDRAWALS & DEPOSITS**

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
06/11/21	Transfer	ACH WITHDRAWL REFID:27120434906		3,000.00
06/29/21	Fee	REORG FEE REVERSAL(89102U103) REFID:21179108904665;		38.00

**NET WITHDRAWALS & DEPOSITS**

\$2,962.00

**OTHER ACTIVITY**

DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT DEBITED	AMOUNT CREDITED
06/28/21	META MATEL INC COMMON STOCK RESULT OF REVERSE SPLIT	MMAT	Reverse Split	1,803			
06/28/21	TORCHLIGHT ENERGY RESOURCES INC MANDATORY REORG FEE CHARGED	89102U103	Fee		38.00		

FBI - Federal Bureau of Investigation